



JC07 Rec'd PCT/PTO 29 AUG 2005  
10/530070

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/530,070
Filing Date	31 March 2005
First Named Inventor	DIETMAR MÜLLER
Art Unit	
Examiner Name	
Attorney Docket Number	0740-71

## ENCLOSURES

1. Fee Transmittal (PTO/SB/17) (1 page);
2. Copy of Notification of Missing Requirements Under 35 U.S.C. 371 (2 pages);
3. Response to Notification of Missing Requirements (2 pages);
4. Declaration (2 pages);
5. Power of Attorney (1 page);
6. Check in the amount of \$130;
7. Return Postcard.

09/02/2005 TRAN1 00000116 10530070

01 FC:1617 130.00 DP

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	The Maxham Firm Lawrence A. Maxham, Reg. No. 24,483
Signature	
Date	25 August 2005

## CERTIFICATE OF MAILING/TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office (USPTO) or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Typed or printed name	Lawrence A. Maxham, Reg. No. 24,483	Date	25 August 2005
Signature			

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Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL**  
**For FY 2005**☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$ ) 130**Complete if Known**

Application Number	10/530,070
Filing Date	31 March 2005
First Named Inventor	Dietmar Müller
Examiner Name	
Art Unit	
Attorney Docket No.	0740-71

**METHOD OF PAYMENT** (check all that apply)☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☐ Deposit Account Deposit Account Number: 02-0460 Deposit Account Name: The Maxham Firm

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

<b>Total Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>	<b>Multiple Dependent Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>
- 20 or HP = _____	x _____	= _____				
HP = highest number of total claims paid for, if greater than 20						
<b>Indep. Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>			
- 3 or HP = _____	x _____	= _____				
HP = highest number of independent claims paid for, if greater than 3						

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<b>Total Sheets</b>	<b>Extra Sheets</b>	<b>Number of each additional 50 or fraction thereof</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>
- 100 = _____	/ 50 = _____	(round up to a whole number) x _____	= _____	

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other: Response to Notification of Missing Requirements

**Fees Paid (\$)**

\$130

**SUBMITTED BY**

Signature

Registration No.  
(Attorney/Agent) 24,483

Telephone 619-233-9004

Name (Print/Type)

Lawrence A. Maxham, Esq.

Date 25 August 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DOCKETED

AUG 19 2005



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/530,070	Dietmar Muller	0740-71

INTERNATIONAL APPLICATION NO.

PCT/DE03/03269

I.A. FILING DATE

09/30/2003

PRIORITY DATE

10/01/2002

00616

THE MAXHAM FIRM  
 750 "B" STREET, SUITE 3100  
 SAN DIEGO, CA 92101

RECEIVED

AUG 15 2005

THE MAXHAM FIRM

CONFIRMATION NO. 7543

371 FORMALITIES LETTER



\*OC000000016715813\*

Date Mailed: 08/08/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/31/2005
- English Translation of the IA filed on 03/31/2005
- Copy of the International Search Report filed on 03/31/2005
- Copy of IPE Report filed on 03/31/2005
- Preliminary Amendments filed on 03/31/2005
- Oath or Declaration filed on 03/31/2005
- Request for Immediate Examination filed on 03/31/2005
- U.S. Basic National Fees filed on 03/31/2005
- Substitute Specification filed on 03/31/2005
- Priority Documents filed on 03/31/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

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## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/530,070	PCT/DE03/03269	0740-71

FORM PCT/DO/EO/905 (371 Formalities Notice)

"PATENT"

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
	)	
DIETMAR MÜLLER et al.	)	
	)	Group No: UNKNOWN
SERIAL NO.: 10/530,070	)	
	)	Examiner: UNKNOWN
FILED: 31 MARCH 2005	)	
	)	
FOR: SIM-CARD FOR OPERATION WITH A	)	
TERMINAL OF A COMMUNICATION	)	
NETWORK	)	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS  
UNDER 35 U.S.C. 371 IN THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)**


This document is submitted in response to the RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS, dated 8 August 2005.

Transmitted herewith is the duly executed Declaration for Patent Application, and Power of Attorney, together with the required fee.

The Commissioner is hereby authorized to charge any fees that arise in connection with this filing which are not covered by the money enclosed, or credit any overpayment, to Deposit Account No. 02-0460.

Respectfully submitted,

DIETMAR MÜLLER et al.

By:   
LAWRENCE A. MAXHAM  
Attorney for Applicant  
Registration No. 24,483

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